

# DUE DILIGENCE FORM

Name: \_\_\_\_\_

Are you married? Y \_\_\_\_\_ N \_\_\_\_\_

If married, have you lived apart from your spouse for the last 6 mo. of the year? Y \_\_\_\_\_ N \_\_\_\_\_

Did you maintain more than half of the cost of your home? Y \_\_\_\_\_ (HOH) N \_\_\_\_\_

Did you or anyone in your household attend an accredited College/University? Y \_\_\_\_\_ (Form 1098-T) N \_\_\_\_\_

Did you work as an independent contractor? (Ex. Uber, Lyft) Y \_\_\_\_\_ (Provide form 1099-NEC) N \_\_\_\_\_

Did you receive any interest, dividend, or investment income (sold stock)? Y \_\_\_\_\_ (Forms: 1099-B / 1099-INT / 1099-DIV) N \_\_\_\_\_

Did you receive rents / royalty income? Y \_\_\_\_\_ N \_\_\_\_\_

Do you have a foreign bank account? Y \_\_\_\_\_ (FBAR) N \_\_\_\_\_

Did you or have you ever applied for health insurance through the Market Place? Y \_\_\_\_\_ (Form 1095-A) N \_\_\_\_\_

Were you or have you ever been a victim of identity theft with IRS? Y \_\_\_\_\_ (If yes, provide your annual IRS PIN Letter) N \_\_\_\_\_

Did you withdraw money from a pension, 401K, or 403B plan last year? Y \_\_\_\_\_ (Form 1099-R) N \_\_\_\_\_

Did you contribute to a retirement account? Y \_\_\_\_\_ N \_\_\_\_\_

Do you currently owe any gov't agency? Y \_\_\_\_\_ N \_\_\_\_\_

Did you start or operate a business? Y \_\_\_\_\_ N \_\_\_\_\_

Did you move in the last year? Y \_\_\_\_\_ N \_\_\_\_\_

Have you lived in multiple cities within the last year? Y \_\_\_\_\_ N \_\_\_\_\_

Last 4 of Soc. Sec. #: \_\_\_\_\_ Tax Year: \_\_\_\_\_

Did you have gambling winnings last year? Y \_\_\_\_\_ (W2-G) N \_\_\_\_\_

Will you be claiming Dependents? Y \_\_\_\_\_ N \_\_\_\_\_

Did you purchase a home last year? Y \_\_\_\_\_ (Form 1098 / Closing Documents) N \_\_\_\_\_

Did you sell any property last year? Y \_\_\_\_\_ (Form 1099-S) N \_\_\_\_\_

Did you make energy saving improvements for your principal residence last year? Y \_\_\_\_\_ N \_\_\_\_\_

Did you collect unemployment? Y \_\_\_\_\_ (1099-G) N \_\_\_\_\_

Did you repay any unemployment? Y \_\_\_\_\_ N \_\_\_\_\_

Did you pay or receive alimony? Y \_\_\_\_\_ N \_\_\_\_\_

Have you received any letters from IRS or State Tax Departments? Y \_\_\_\_\_ N \_\_\_\_\_

Did you receive, sell, exchange, or dispose of any virtual currency? Y \_\_\_\_\_ N \_\_\_\_\_

Did you make estimated tax payments? Y \_\_\_\_\_ N \_\_\_\_\_

Did you receive Social Security Income? Y \_\_\_\_\_ (Form 1099-SSA) N \_\_\_\_\_

Did you make gifts of more than \$16,000 to any one person? Y \_\_\_\_\_ N \_\_\_\_\_

Did you purchase a clean fuel or electric vehicle? Y \_\_\_\_\_ N \_\_\_\_\_

Did you make any contributions to a Health Savings Account? Y \_\_\_\_\_ N \_\_\_\_\_

Did you contribute to a NYS 529 Plan? Y \_\_\_\_\_ N \_\_\_\_\_

What's the amount of your 2022 STAR Check or Credit: \$ \_\_\_\_\_.

**I acknowledge that I have provided J. Squared the above summary for the preparation of my individual tax return(s). I did not receive any influence to sign this statement against my will. I certify that the above is true and accurate to the best of my knowledge.**

Signature: \_\_\_\_\_ Date: \_\_\_\_\_ Staff Initials: \_\_\_\_\_

Expires: 12/31/2023